Principles of Acoustoelectronic

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	Topics and problems	Total hours	Teaching hours
	I. Theoretical study		
1.	Basic properties of elastic vibrations and waves in a solid state.	4	1
2.	Ferroelectric materials.	4	1
3.	Elastic vibrations and waves excitation and detection.	4	1
4.	Acoustoelectronic filters and resonators.	4	1
5.	Acoustoelectronic sensors and special devices.	6	1
6.	Acoustoelectronic actuators.	4	1
1	II. Laboratory – practical tests		
1.		2	2
2.	Filters and resonators measurements	2	2
	Quartz crystal microbalance devices	20	10
		30	10

Literature

basic:

A. Arnau, Piezoelectric Transducers and Applications, Springer 2008J. Yang, Analysis of piezoelectric devices, World Scientific Publishing 2006

additional:

D. Morgan, Surface Acoustic Wave Filters, Elsevier 2007T. D. Rossing ed., Springer Handbook of Acoustics, Springer 2007







ACOUSTOELECTRONIC

Part of electronic concernings various phenomena of acoustic wave propagation inside volume of solids (bulk acoustic waves - BAW), at their surfaces (surface acoustic waves - SAW) and in different kind of waveguides as well as interactions of the acoustic waves with electric charges

Typical acoustoelectronic devices: filters resonators dispersive and nondispersive delay lines analog signal processors sensors RFID devices actuators

Advantages: relative simplicity of design and technology small size high reliability relative high operating frequencies.



MODERN ELECTRONIC QUANTUM ELECTRONIC **OPTOELECTRONICS** ACOUSTOELECTRONICS ANALOG TECHNOLOGY DIGITAL TECHNOOLOGY **MICROWAVES TECHNOLOGY**



Echolocation - radars and sonars









telecommunication







Figure 7 Very Long Code SAW Correlator

Mobile phones







AMPS mobile phone

IF amplifier

mobile phone

RFID



















Acoustical imaging (NDT/NDE)

Touch sensitive panels



Surface Acoustic Wave (SAW)





Energy harvesting systems





Fig. 4. (a) Drawing showing location of ACI's piezoelectric fibers in Head tennis racket. (b) Damping ringdown curves for rackets tested without and with the ChipsystemTM, which consists of ACI's fiber composites coupled with an electronic circuit.



Fig. 5. ACI/Head smart ski.



Fig. 3. Andre Agassi with ACI/Head smart tennis racket.

smart materials

sensor + actuator







Rotary and linear motors













Nozzles, valves ...





Upper electrode



piezotransformers















Real time signal processors

Sensors







accelerometer

Chemical vapour and compounds









Electronic noses









Acoustic waves in solids

1D case - acoustic waves in string

Newton formula - velocity

$$c = \sqrt{\frac{T}{\rho}}$$

T string tension ρ String material density

Let us assume that the solution of 1D wave equation for string has the following form

$$u(t,x) = (A\sin kx + B\cos kx)\sin(\omega t + \varphi)$$

both ends of the string are fixed – boundary conditions have a simple form:

$$u\big|_{x=0} = 0 \qquad u\big|_{x=L} = 0$$

inserting the condition into assumed solution one can obtain

$$\begin{cases} A \cdot 0 + B \cdot 1 = 0\\ A \sin kL + B \cos kL = 0 \end{cases}$$

Determinant of the equation system

$$\begin{array}{c|c} 0 & 1 \\ \sin kl & \cos kl \end{array}$$

Allows to obtain eigenvalues (possible resonant frequencies of the string)

$$\sin kl = 0 \longrightarrow k_n = \frac{n\pi}{l} \qquad n = 1, 2, 3 \dots$$
because
$$k = \frac{2\pi}{\lambda} \longrightarrow \lambda_n = \frac{2l}{n} \longrightarrow f_n = c \frac{n}{2l} = \sqrt{\frac{T}{\rho} \frac{n}{2l}}$$

$$n = l$$







The transverse deflection measure w(x,y,t) is in the z-direction. Isolating a dx by dy element of membrane, and viewing along the y-axis

shows



A similar view occurs along the x-axis

Using Newton's Law in the z-direction gives

Tdy
$$\frac{\partial^2 w}{\partial x^2} dx + Tdx \frac{\partial^2 w}{\partial y^2} dy = \rho dxdy \frac{\partial^2 w}{\partial t^2}$$

Dividing by dxdy, we can rewrite the above as

$$\frac{\partial^2 w}{\partial x^2} + \frac{\partial^2 w}{\partial y^2} = \frac{1}{c^2} \frac{\partial^2 w}{\partial t^2}$$

where $c = \sqrt{\frac{T}{\rho}}$

T = tension/length $\rho = mass/area$



Using separation of variables

$$w(x,y,t) = X(x)Y(y)T(t)$$

$$x'' + \alpha^{2}x = 0$$

$$Y'' + \beta^{2}Y = 0$$

$$\ddot{T} + (\alpha^{2} + \beta^{2})c^{2}T = 0$$

 α and β are separation constants.

The solutions to equations

 $X = A \sin \alpha x + B \cos \alpha x$

Y = H sin
$$\beta$$
y + D cos β y
T = E sin c $\sqrt{\alpha^2 + \beta^2}$ t + F cos c $\sqrt{\alpha^2 + \beta^2}$ t

A, B, H, D, E and F being constants

Using the boundary conditions

$$B = D = 0$$

$$\alpha = \frac{m\pi}{a}$$
; m = 1,2, ...

$$\beta = \frac{n\pi}{b}$$
; n = 1,2, ...

 $\mathbf{E} = \mathbf{0}$

Consequently applying superposition

$$w(x,y,t) = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} A_{mn} \cos\left[\pi ct \sqrt{\frac{m^2}{a^2} + \frac{n^2}{b^2}}\right] \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{b}$$

from initial condition t=o

$$f(x,y) = \sum_{m=1}^{\infty} \sum_{n=1}^{\infty} A_{mn} \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{b}$$

it is double Fourier sine series for f(x,y), so

$$A_{mn} = \frac{4}{ab} \int_{0}^{a} \int_{0}^{b} f(\xi, \eta) \sin \frac{m\pi\xi}{a} \sin \frac{n\pi\eta}{b} d\xi d\eta$$
$$f = \frac{\omega}{2\pi} = \frac{c}{2} \sqrt{\frac{m^{2}}{a^{2}} + \frac{n^{2}}{b^{2}}}$$

Note that n=0 or m=0 give the frequency for vibrating string





Zero deflection lines are called nodal lines

An axisymmetric problem - circular membrane with radius r

$$\frac{\partial^2 w}{\partial r^2} + \frac{1}{r} \frac{\partial w}{\partial r} = \frac{1}{c^2} \frac{\partial^2 w}{\partial t^2} , \quad w = w(r,t)$$

using boundary and initial conditions are simpler

$$w(r,0) = f(r)$$

 $w_t(r,0) = 0$

allow to obtain the solution

$$w(r,t) = -\frac{1}{2\pi} \frac{ct}{r^{1/2}(c^2t^2 - r^2)^{3/2}}$$
, $0 < r < ct$



For more complex deflections



Inside the volume of the solids



longtitudinal waves



transversal waves



both

Velocity is described by Newton formula

$$c = \sqrt{\frac{E}{\rho}}$$

E - Young's modulus fot lingtitudinal waves (Kirchhoff modulus G for transversal wave)

From definition
$$E = \frac{F}{S} : \frac{\Delta l}{l}$$
 so $\frac{\Delta l}{l} = \frac{1}{E} \cdot \frac{F}{S}$

F acting force, S area of force action, l length of medium (elestic body), Δl displacement.

Ratio *F*/S is called tension (*T*), and $\Delta l/l$ strain or deformation (*S*) so:

$$S = \frac{1}{E}T$$

It is Hooke low – proper for small displacements and smal viscosities
Let us consider rod having cross section area equal to S and length l



In certain moment *t* displacement in the point *x* is equal to *u* and in the point x+dx is u+du. The displacements are caused by tensions *T* and $T+\Delta T$, respectively. The rod displacement toward the distance dx changes to du:

$$\frac{\Delta l}{l} = \frac{d\boldsymbol{u}}{dx} = \frac{\partial \boldsymbol{u}}{\partial x} \qquad \text{so} \qquad d\boldsymbol{u} = \frac{\partial \boldsymbol{u}}{\partial x} dx$$

From Hooke low:

$$\frac{\partial u}{\partial x} = \frac{1}{E}T$$

The motion of mass element of the rod between x and x+dx is equal to

$$dm = S \rho dx$$

Multiply both sides by acceleration operator one can obtain:

$$dm\frac{\partial^2 u}{\partial t^2} = S\rho dx\frac{\partial^2 u}{\partial t^2}$$

From II Newton dynamic principle left side of the equation is equal to force acting at mass element dm.

On the other hand the force actin at surface area makes the tension so:

$$F = \mathbf{S}(T + dT) - \mathbf{S}T = \mathbf{S}\left(T + \frac{\partial T}{\partial x}dx\right) - \mathbf{S}T = \mathbf{S}\frac{\partial T}{\partial x}dx$$

$$\rho \frac{\partial^2 u}{\partial t^2} = \frac{\partial T}{\partial x}$$



It is one dimensional wave equation. It is easy to generalise this equation for 3 D case (or even n D cases)

$$\frac{\partial^2 \boldsymbol{u}}{\partial t^2} = v^2 \left(\frac{\partial^2 \boldsymbol{u}}{\partial x^2} + \frac{\partial^2 \boldsymbol{u}}{\partial y^2} + \frac{\partial^2 \boldsymbol{u}}{\partial z^2} \right) = v^2 \nabla^2 \boldsymbol{u}$$

The Hooke low $S = \frac{1}{E}T$ operates properly for one fixed direction



For anizotropy the wave velocities depend on direction of the propagation

About 1910 r. Woldemar Voigt had introduced tensor algebra to take into consideration all directions



The unit cube is small enough to make the internal tensions homogeneous. The tensions T_{ii} are compressive and T_{ij} shear.

The symmetry of the tensions allows to bring the unit cube to main axis. It is the situation when shear stresses vanish.

$$\begin{bmatrix} T_{11} & T_{12} & T_{13} \\ T_{21} & T_{22} & T_{23} \\ T_{31} & T_{32} & T_{33} \end{bmatrix} \rightarrow \begin{bmatrix} T_1 & 0 & 0 \\ 0 & T_2 & 0 \\ 0 & 0 & T_3 \end{bmatrix}$$



It is possible if the coordinate system is chosen in the way when the directions of of unit cube edges and axis are the same.

In general case for anizotropic solids Hooke low has more general form:

$$T_{ij} = \sum_{k} \sum_{l} C_{ijkl} S_{kl}$$

Summation sign may be ommitted when summation is over a set of indexed terms in a formula, thus achieving notational brevity (Einstein summation convention).

$$T_{ij} = C_{ijkl} S_{kl}$$

 C_{ijkl} stifness tensor (or elestic constants) that characterises elestic properties of the solid In anizotrpic case.

The strain tensor S_{kl} is symmetric:

$$S_{kl} = S_{lk}$$

The fact reduces number of independent components from 9 to 6

Symmetry of S_{kl} imply symmetry of C_{ijkl}

$$C_{ijkl} = C_{jikl}$$
 $C_{ijkl} = C_{klij}$ $C_{ijkl} = C_{ijlk}$

It reduces number of components from 81 to 21.

For cubic crystals (perovskites) the number od independent components reduces to 3.

In the special izotropic case the elastic constant tensor reduces to two components so called Lamé constants λ i μ

$$C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu \left(\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} \right)$$

 $\delta_{ij} = \begin{cases} 1 \text{ dla } i = j \\ 0 \text{ dla } i \neq j \end{cases}$

Hooke low is independent of time but it is easy to dynamise it using II Newton dynamic principle

$$F = ma$$

From definition
$$F_i = \frac{\partial T_{ij}}{\partial x_j} \longrightarrow \rho \frac{\partial^2 u_i}{\partial t^2} = \frac{\partial T_{ij}}{\partial x_j} \qquad m = \rho V$$

 $T_{ij} = C_{ijkl} S_{kl} \qquad S_{ij}(x_1, x_2, x_3) = \frac{1}{2} \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \longrightarrow T_{ij} = C_{ijkl} \frac{\partial u_j}{\partial x_k}$

$$\rho \frac{\partial^2 u_i}{\partial t^2} = \frac{\partial T_{ij}}{\partial x_j} \qquad T_{ij} = C_{ijkl} \frac{\partial u_j}{\partial x_k} \longrightarrow \qquad \rho \frac{\partial^2 u_i}{\partial t^2} = C_{ijkl} \frac{\partial^2 u_l}{\partial x_i \partial x_k}$$

It is the wave equation for function Ψ , that has in general form of plane wave. Every displacement inside solid volume is a source of acoustic wave

3D Laplace operator

$$\frac{\partial^2 \Psi}{\partial t^2} = v^2 \nabla^2 \Psi$$

$$\nabla^2 = \frac{\partial}{\partial x_1} + \frac{\partial}{\partial x_2} + \frac{\partial}{\partial x_3}$$

General solution

$$\boldsymbol{u} = A e^{\left[i\boldsymbol{k}\left(l_{i}\boldsymbol{x}_{i}-\boldsymbol{v}t\right)\right]}$$

Uniform planar waves of this kind are called bulk acoustic waves (BAW).

Inserting the solution into wave equation gives 3 waves propagating in 3 directions: one longtitutinal and two transversal (with the same velocities)

$$v_l = \sqrt{\frac{C_{1111}}{\rho}}$$

$$v_{t1} = v_{t2} = \sqrt{\frac{C_{2323}}{\rho}}$$

Newton formula!

For most solids

 $v_t \approx 0,63v_l$





For izotropy
$$C_{1111} = \lambda + 2\mu, \ C_{1122} = \lambda, \ C_{2323} = \mu$$

or using Voigt notation $11 \rightarrow 1$, $22 \rightarrow 2$, $33 \rightarrow 3$, $23 \rightarrow 4$, $13 \rightarrow 5$, $12 \rightarrow 6$

$$C_{11} = \lambda + 2\mu, \ C_{12} = \lambda, \ C_{44} = \mu$$



$$v_l = \sqrt{\frac{\lambda + 2\mu}{\rho_0}}$$
 $v_t = \sqrt{\frac{\mu}{\rho_0}}$

There are oure longtidudinal and transversal waves.

in general inside anizotropic solids tipical displacements directions of particles are not Parallel or transversal to the propagation directions (are not pure).

Each limit of space (each border) can guide new kind of waves

Cutting the infinitive elastic space by plane one can obrtain two elastic half-spaces



Let us look for planar waves (displacement $u_2=0$) propagating along x_1 and vanishing into The depth of the half-space

$$v_t^2 \nabla^2 u_1 + (v_l^2 - v_t^2) u_{1,11} u_{3,31} - u_{1,tt} = 0$$

$$v_t^2 \nabla^2 u_3 + (v_l^2 - v_t^2) u_{1,13} u_{3,33} - u_{3,tt} = 0$$

has 2D form
$$\nabla^2 = \frac{\partial}{\partial x_1} + \frac{\partial}{\partial x_3}$$

due to $u_2=0$ laplacian

Let us represent the displacement vector using two potencial functions

$$\boldsymbol{u} = \operatorname{grad} \boldsymbol{\varphi} + \operatorname{rot} \boldsymbol{\Psi}$$

scalar potential nonrotational part

vector potential rotational part

For planar vawes we obtain:

$$u_{1} = \varphi_{,1} + \psi_{,3}$$
$$u_{3} = \varphi_{,3} + \psi_{,1}$$

Inserting displacements into wave equations we obtain

$$v_l^2 \nabla^2 \varphi - \varphi_{,tt} = 0$$
$$v_t^2 \nabla^2 \psi - \psi_{,tt} = 0$$

Boundary conditions

$$T_{33} = 0$$

 $T_{31} = 0$

From above

$$T_{33} = (\lambda + 2\mu)\varphi_{,33} + \lambda\varphi_{,11} - 2\mu\psi_{,13} = 0$$
$$T_{31} = \mu (2\varphi_{,13} - \psi_{,11} + \psi_{,33}) = 0$$

Looking for planar wave along x_1 that vanishes towards x_3

$$\varphi = Ae^{-\alpha x_3 + i(kx_1 - \omega t)} \qquad \psi = Be^{-\beta x_3 + i(kx_1 - \omega t)}$$

one can yeld

$$A\left[\left(\lambda+2\mu\right)\alpha^{2}-\lambda k^{2}\right]+2iB\mu k\beta=0$$
$$-2iAk\alpha+B\left(\beta^{2}+k^{2}\right)=0$$

Vanishing coefficients can be express using wave vector

$$\alpha^2 = k^2 - \frac{\omega^2}{v_l^2}, \ \beta^2 = k^2 - \frac{\omega^2}{v_t^2}$$

SO

$$A\left[\left(\lambda+2\mu\right)\left(k^{2}-\frac{\omega^{2}}{v_{l}^{2}}\right)-\lambda k^{2}\right]+2iB\mu k\sqrt{k^{2}-\frac{\omega^{2}}{v_{t}^{2}}}=0$$
$$-2iAk\sqrt{k^{2}-\frac{\omega^{2}}{v_{l}^{2}}}+B\left(2k^{2}-\frac{\omega^{2}}{v_{t}^{2}}\right)=0$$

Demanding of vanish of the determinant we obtain characteristic equaion:

$$\left(2 - \frac{\omega^2}{k^2 v_t^2}\right)^2 - 4\sqrt{1 - \frac{\omega^2}{k^2 v_t^2}}\sqrt{1 - \frac{\omega^2}{k^2 v_t^2}} = 0$$

or using $v = \frac{\omega}{k}$ $\left(2 - \frac{v^2}{v_t^2}\right)^2 - 4\sqrt{1 - \frac{v^2}{v_t^2}}\sqrt{1 - \frac{v^2}{v_t^2}} = 0$

The equation has nontrivial solutions for $0 < v < v_t$ The new wave velocity

 $0,874v_t < v < 0,995v_t$

for compressible solids

for uncompressible solids

Close the surface can propagate new kind of wave (neither transversal nor longtitudinal). called **Rayleigh wave**.

The displacements

$$u_{1} = Ae^{-x_{3}\sqrt{k^{2}-k_{l}^{2}}}\cos(kx - vt)$$
$$u_{3} = Be^{-x_{3}\sqrt{k^{2}-k_{l}^{2}}}\sin(kx - vt)$$







Rayleigh wave most important properties

- amplitude vanishes into the depth of half-space on a distance about $\boldsymbol{\lambda}$
- amplitude is very small in order even ${\sim}\lambda^{-5}$
- it has only two components (transversal component = 0)
- eliptic polarisation
- material point at the surface of half-space draw eliptic trajectories in opposite to the wave propagation direction
- it is planar wave low attenuation
- it is near-surface combination of transversal and longtitudinal modes (Lissajous curve)
- the velocity is lower than bulk longtitudinal and transversal wave

$$v < v_t < v_l$$

 $0,874v_t < v < 0,995v_t$





In analog way other possible waves were found



Surface transversal waves

Love waves



Stonley waves



Surface skimming bulk waves (SSBW)



Najsłynniejsze polskie nazwisko w świecie techniki



Prof. Jan Czochralski 1885-1953



"Wyciąganie" monokryształu z fazy ciekłej metodą Czochralskiego.









PIEZOELECTRICITY



SiO₂ LiNbO₃ LiTaO₃ BaTiO₃ SrTiO₃ Pb(ZrTi)O₃ KNbO₃ KNaC₄H₄O₆·4H₂O



Geometrical explanation





Full compensation of dipole moments

Dipole moments are uncompensated

Hook low for piezoelectric materials has to be modified

$$T_{ij} = C^E_{ijkl} S_{kl} - e_{ijk} E_k$$

 e_{ijk} tensor of piezoelectric coefficients

Electric induction has the form

$$D_i = \varepsilon_{ij}^S E_j + e_{ijk} S_{jk}$$

 \mathcal{E}_{ij}^{S} permittivity tensor at constant strain

or

$$D_i = \sum_i \varepsilon_{ij}^T E_j + \sum_{j,k} d_{ijk} T_{jk}$$

 \mathcal{E}_{ij}^{T} permittivity tensor at constant tension

 d_{ijk} tensor of direct piezoelectric effect coefficients

Piezoelectric materials allow to excite and detect both bulk and surface waves just using alternating voltage



There are gread deal of different shapes







Basic "rigid" ways of vibrations of thin piezoelectric plates (Kazis)



 x_n - subsequent roots of the equation $k_t^2 tg\left(\frac{\pi}{2}x\right) = \frac{\pi}{2}x$ $k_t = \frac{\varepsilon_{33}}{\sqrt{\varepsilon_{22}^S C_{22}^D}}$



 $x_1 \approx 0,996$

Neded constants are already measured and collected in respective tables





longtitudinal vibrations of rod

$$f_{0} = \frac{1}{2l_{0}\sqrt{S_{33}^{D}\rho}} x_{n} = \frac{v x_{n}}{l_{0}} \qquad n = 1, 3, 5...$$
$$x_{n} \Rightarrow k_{33}^{2} tg\left(\frac{\pi}{2}x\right) = \frac{\pi}{2}x \qquad k_{33} = \frac{d_{33}}{\sqrt{\varepsilon_{33}^{T}S_{33}^{E}}}$$



Shear vibrations

$$f_0 = \frac{1}{2l_0} \sqrt{\frac{C_{44}^D}{\rho}} x_n = \frac{v x_n}{l_0} \qquad n = 1, 3, 5..$$

$$x_n \Rightarrow k_{15}^2 tg\left(\frac{\pi}{2}x\right) = \frac{\pi}{2}x \qquad k_{15} = \frac{e_{15}}{\sqrt{\varepsilon_{11}^S C_{44}^D}}$$

Basic "free" ways of vibrations (Kazis)



radial

$$f_{0} = \frac{\xi}{2\pi d \sqrt{S_{11}^{E} \rho \left[1 - \left(T^{E}\right)^{2}\right]}} = \frac{\xi v}{2\pi d}$$



$$f_0 = \frac{n}{2a\sqrt{S_{11}^E\rho}} = \frac{nv}{2a} \qquad n = 1, 3, 5..$$

Equivalent circuit



m, r, k, depend on piezoelectric material and details of the construction

Basic BAW devices


Quartz resonators





















Thin plate shear modes

Resonant frequency

$$f_n = \frac{n}{2h} \sqrt{\frac{C_{ij}}{\rho}}, \quad n = 1, 3, 5...$$

 f_n frequency of n-th overtone h thickness ρ density C_{ij} elastic moduli

Linear temperature coefficient

$$T_{f} = \frac{d\left(\log f_{n}\right)}{dT} = \frac{1}{f_{n}}\frac{df_{n}}{dT} = \frac{-1}{h}\frac{dh}{dT} - \frac{1}{2\rho}\frac{d\rho}{dT} + \frac{1}{2c_{ij}}\frac{dc_{ij}}{dT}$$

Temperature dependences



AT – cut
$$-10^{\circ}$$
 to $+30^{\circ}$



 $T[^{\circ}C]$

materiał	cięcie	kierunek propagacji	prędkość fali Rayleigha [m/s]	współczynnik sprzężenia elektromech. [%]	współczynnik temperaturow y [10 ⁻⁶ /K]	względna przenikalność elektryczna
kwarc	42,75° Y (ST)	Х	3175	0,16	0	4,5
kwarc	- 75° Y	Х	3960	0,11	9	4,5
LiNbO ₃	Y	Z	3488	4,82	-94	36,7
LiNbO ₃	128° Y	Х	4000	5,56	-74	39,1
LiNbO ₃	64° Y	Х	4742	11,3	-79	37,1
LiTaO3	Х	112° Y	3295	0,64	-18	44,0
LiTaO3	36° Y	Х	4178	4,8	-33	48,3
$Li_2B_4O_7$	45° X	Ζ	3401	1,0	0	9,6
polikryst. ZnO na szkle	-	-	2576	1,4	-11	10,8
<u>kryst. ZnO</u> na szafirze	-	_	5500	3,4	-7	10
$\frac{Pb(Sn_{1/2}Sb_{1/2})O_3}{+PbTiO_3+PbZrO_3}$	-	-	2420	2,4	-38	270
0,1Pb(Mn _{1/3} Nb _{2/3})O ₃ +0,9Pb(Zr _{0,74} Ti _{0,26})O ₃	-	-	2430	2,9	-17	460

Equivalent circuit – more details



- *n* overtone number
- C_n capacity
- $L_{\rm n}$ inductance
- R_n resistance
- ϵ permittivity for quartz $\approx 40 \text{ pF/m}$
- *A* area of electrodes
- *h* thickness of plate
- *r* capacitive coeff.
- f_s frequency of serial resonance
- f_a antiresonance frequency
- Q Q-factor
- au group delay
- φ phase shift
- *K* electromechanical coupling coeff.

$$\varphi = \frac{\omega L - \frac{1}{\omega C}}{R}$$

 $\tau = RC \approx 10^{-14} \,\mathrm{s}$

 $\frac{d\varphi}{df} \cong \frac{360}{\pi} \frac{Q}{f_{\star}}$

 $L_n \approx \frac{n^3 L_{11}}{r'^3}$ $R_n \approx \frac{n^3 R_{11}}{r'}$ $r' = \frac{f_1}{f_n}$ $2r = \left(\frac{\pi n}{2K}\right)^2$ $C_n \approx \frac{r^{+}C_{11}}{r^{-3}}$





Resonator dragging



XO - Crystal Oscillator VCXO - Voltage Controlled Crystal Oscillator OCXO - Oven Controlled Crystal Oscillator TCXO - Temperature Compensated Crystal Oscillator TCVCXO - Temperature Compensated/Voltage Controlled Crystal Oscillator OCVCXO - Oven Controlled/Voltage Controlled Crystal Oscillator MCXO - Microcomputer Compensated Crystal Oscillator RbXO - Rubidium-Crystal Oscillator



Warunki oscylacji!

Basic circuits







Overtone circuit





BAW filters













For most common two – pole filter resonant frequencies of input and output part are equal.

$$f_{1,2} = f_S \sqrt{1 + \frac{C_S}{2C_0} \pm \sqrt{K^2 + \left(\frac{C_S}{2C_0}\right)^2}}$$

 $K = \frac{C_s}{c_K}$ electromechanical coupling coeff.

for symmetric configuration

$$f_{SYM} = f_S \sqrt{1 - K} \qquad f_{SYM} < f_S$$

for asymmetric configuration

$$f_{ASYM} = f_S \sqrt{1 + K} \cong f_{SYM} (1 + K) \quad f_{ASYM} > f_S$$

$$f_{ASYM} - f_{SYM} = f_{SYM} K$$

Multichain configurations



bridge





-80

-100

+1kHz

9

+3kHz

MHz

-1kHz



Butterworth type filter

💥 GENESYS V7.0

File Edit View Workspace Actions Tools Synthesis Window Help





SAW excitation and devices

WHY?



Fig. 7 First and third high-Q length extensional modes measured at 34.65MHz and 107MHz for a 120 μ m×30 μ m piezo-on-silicon block.

Evolution from BAW to SAW - from two planar electrodes to interdigital transducer (IDT)







$V = \delta$ - Diraca

 $A(\omega) \approx \sum E_n e^{-j\omega x_n/v}$

 E_n amplitudes of Dirac pulses between electrodes in x_n



 $S(\tau) = s_1(\tau) * s_2(\tau)$ $H(\omega) = H_1(\omega)H_2(\omega)$

$$H(\omega) \sim \sum_{n,m} E_n e^{-j\omega x_n/\nu} w_m e^{j\omega x_m/\nu}$$





$$W = const \qquad W = Sinc(x)F_{OP} \quad W = Sinc(x)F_{OP}F_{W}$$









To improve parameters

- special weighting
- special constructions of IDT
- dummy electrodes
- splitted electrodes
- precise modelling and technology
- nonconventional substrates
- dumping of unwanted reflections
- application of different kind of waves
- directional couplers
- electromagnetic screening

Common waighting methods



capacitive



broken electrodes



slanted electrodes



removed electrodes

Methods of enchancement







Screening and dumping of reflections



directional couplers



splitted electrodes

Using SAW one can obtain band-pass filters having

- > 80 dB attenuation out of the bandwith
- few dB inside band
- ➤ small band-pass ripples ~0.1%
- precise characteristic almost rectangular shape
- small sizes (the higher frequency the smaller)
- simple technology
- high repetability
- high time stability
- \succ low cost
How to eliminate bidirectivity



Reflectors application

2-phase transducer



AFP

Single phase unidirectional transducers (SPUDT)









Forward amplitude $R_x = E[(1-r)-j 0,73 r].$

Backward amplitude

 $S_x = E[(1+r)-j 0,73 r],$



This method ensure almost zero-attenuation inside the band-pass but not very big out of the band

Simulation of the reflection of strip edge



Reflection coefficient



One section of SPUDT





350 MHz 128° XY LiNbO₃



Resonsnt filters



Basic principle



exapmle



Transversally coupled filter

Notch filters





Antenna duplexers









Microstrip

Line $\lambda/4$





 $B_{3dB} [\% f_0]$

Different technology comparizon



Other methods of SAW excitation



IIDT modelling

 δ function model takes into consideration only general properties of IDT It ignores e.g.

SAW reflections:

interelectrodes, inter IDT transducers (odd echoes) from structure border Diffraction effects BAW generation and reflection SAW leakage SAW velecity change due to mass or electrical loading

To take above into consideration other models are often applied:

Enhanced δ Equivalent circuit method Spectrum method Matrix (Y, S), Coupled of modes (COM) Ramez algorithm Numerical (FEM i BEM) others

Equivalent circuit

$$\begin{bmatrix} D_{n+1} \\ e_{n+1} \end{bmatrix} = \begin{bmatrix} \cos \alpha_n & \sin \alpha_n \\ -\sin \alpha_n & \cos \alpha_n \end{bmatrix} \begin{bmatrix} D_n \\ e_n \end{bmatrix} + E_n \begin{bmatrix} -\sin \alpha_n \\ 1 - \cos \alpha_n \end{bmatrix}$$

One IDT section

$$Z = jZ_0 tg \frac{\alpha_n}{2} \qquad \alpha_n = \frac{\pi f}{2f_n}$$



 $D_n = jZ_o i_n$

$$I_{n} = j\omega C_{0}E_{n} + \frac{j(D_{n} - D_{n+1})}{Z_{0}}$$

$$\mathbf{Z}_0 = \frac{2\pi}{\omega_0 C_0 K_s^2}$$

Ln

Electric part

Acoustic part

Two collaborating IDTs

1115

 E_n

▲I_{n+1}



The reflections can be taken into consiferation adding capacitances

Admitance matrix model



 $\begin{bmatrix} I_{1} \\ I_{2} \\ I_{3} \end{bmatrix} = \begin{bmatrix} Y_{11} & Y_{12} & Y_{13} \\ Y_{12} & Y_{11} & -Y_{13} \\ Y_{13} & -Y_{13} & Y_{33} \end{bmatrix} \begin{bmatrix} V_{1} \\ V_{2} \\ V_{3} \end{bmatrix}$

Electric port

Electric port

According electric circuit theory the three-port network can be described by following set:

$$\begin{pmatrix} \begin{bmatrix} I_N \\ I_0 \end{bmatrix} = \begin{bmatrix} Y \end{bmatrix} \begin{bmatrix} U_N \\ U_0 \end{bmatrix}$$
$$E_g = I_N R_g + U_N$$
$$U_0 = -I_0 R_0$$

Independent components of the matrix [Y] are definied using the following relations

 $y_{n0} = y_{0n}$

The solution of the equations set allows to obtain transfer function:

$$H(\omega) = \frac{U_0}{E_g} = \frac{y_{on}R_0}{(1 + y_{nn}R_g)(1 + y_{00}R_0) - y_{0n}^2R_0R_g}$$

Ignoring three-pass echo $y_{0n}^2 R_0 R_g$ one can obtain simpler form

$$H(\omega) = \frac{H_{\delta}(\omega)R_{0}}{(1 + y_{nn}R_{g})(1 + y_{00}R_{0})}$$

Input and output admittance

 $y_{ii}(j\omega) = G_i(\omega) + jB_i(\omega) + j\omega C_T$

The admitance

$$y_{ii}(j\omega) = G_i(\omega) + jB_i(\omega) + j\omega C_T$$

Can be represented by equivalent circuit





 C_T is static capacity of IDT with electrodes having aperture W

Susceptance *B* and conductance *G* are linked by Hilbert transfirm:

$$B_{i}(\omega) = -\frac{1}{\pi} \int_{-\infty}^{\infty} \frac{G_{i}(\omega')}{\omega - \omega'} d\omega'$$

Insertion loss:

$$ST = -10\log\frac{P_0}{P_g} = -10\log\left(\frac{4R_g \left|H(\omega)\right|^2}{R_0}\right)$$

Design calculations



Minimal insertion losses depend on IDT bandwidth

Parameters of IDT on quartz ST?



 $v \approx 3150 \text{ [m/s]} \qquad \varepsilon_{11} = \varepsilon_{22} = 4,51\varepsilon_0 \qquad \varepsilon_0 = 8,8542 \cdot 10^{-12} \left[\frac{C^2}{Nm^2} \right]$ $\frac{\Delta v}{v} \approx 0,001 \qquad \varepsilon_{33} = 4,6\varepsilon_0$ $\varepsilon_{13} = 0 \qquad \varepsilon_{ef} = \varepsilon_0 \sqrt{\varepsilon_{11}\varepsilon_{33} - \varepsilon_{13}^2} \approx 4,55\varepsilon_0$

Maximal bandwitdh is obtain when acoustic and electric Q-factors are egual

$$Q_a = N_p = Q_e = \frac{\omega C}{G_a} = \frac{\omega(\varepsilon_0 + \varepsilon_{ef})WN_p}{\omega(\varepsilon_0 + \varepsilon_{ef})W\frac{\Delta v}{v}N_p^2\tilde{G}} = \frac{1}{\frac{\Delta v}{v}N_p^2\tilde{G}}$$

For simple (non-splitted electrodes)

$$\tilde{G} = 2,87$$

Number of pairs of electrodes

$$N_p = \sqrt{\frac{1}{\frac{\Delta v}{v}\tilde{G}}} \approx 18,7 \rightarrow 19$$

3 dB bandwidth

$$\frac{\Delta\omega}{\omega}\bigg|_{^{3dB}}\approx\frac{1}{N_{p}}\approx5,2\%$$

IDT impedance for center frequancy

$$Z = \frac{1}{\frac{1}{R_{a}} + j\omega C} = \frac{R_{a}}{1 + j\omega CR_{a}} = \frac{R_{a}(1 - j\omega CR_{a})}{1 + (\omega CR_{a})^{2}} = \frac{R_{a}}{1 + (\omega CR_{a})^{2}} - j\frac{\omega CR_{a}}{1 + (\omega CR_{a})^{2}}$$

IDT capacity have to be compensated by inductivity - on center frequency:

$$\frac{R_a}{1 + (\omega C R_a)^2} = \frac{1}{G_a + \frac{\omega^2 C^2}{G_a}} = R_0$$

assuming $G_a \ll \omega C$ i.e. $\frac{1}{R_a} \ll \omega C$ we obtain

$$\frac{1}{G_a + \frac{\omega^2 C^2}{G_a}} = \frac{G_a}{\omega^2 C^2} = \frac{2\pi v \left(\varepsilon_0 + \varepsilon_{ef}\right) \frac{W}{\lambda} \frac{\Delta v}{v} N_p^2 \tilde{G}}{\left[2\pi v \left(\varepsilon_0 + \varepsilon_{ef}\right) \frac{W}{\lambda} \frac{\Delta v}{v} N_p\right]^2} = \frac{\frac{\Delta v}{v} \tilde{G}}{2\pi v \left(\varepsilon_0 + \varepsilon_{ef}\right) \frac{W}{\lambda}} = R_0$$

SO

$$\frac{W}{\lambda} = \frac{\frac{\Delta v}{v}\tilde{G}}{R_0 2\pi v \left(\varepsilon_0 + \varepsilon_{ef}\right)} \approx 59$$

Simple filter (identical IDTs)



Number of digits in IDT

$$N = 2\alpha f_0 / \Delta F$$

$$\alpha = 0, 6 \div 0, 8$$

Optimal digids number for giving substrate

$$N_{opt} = \sqrt{\pi/k_m^2}$$

Podłoże	v _s [km/s]	k_m^2	ε,
Kwarc	3.15 - 3.2	0.0012 - 0.0024	4.52-4.55
LiNbO3	3.5 - 4.0	0.005 - 0.058	25-60
$Bi_{12}GeO_{20}$	1.62 - 1.7	0.007 - 0.0164	38–45
Bi ₁₂ SiO ₂₀	1.7	0.018	
LiTaO ₃	3.2 - 3.4	0.0069 - 0.0093	43-51

Optimum deviation

$$P = \left(N_{opt} / N \right)^2$$

period of structure – electrodes $\lambda/4$

$$\Delta = v_s / 2f_0$$

$$d = \Delta/2$$

minimal aperture

$$W_{\min} = \sqrt{L\lambda_s}$$

L inter IDT distance

IDT length
$$L_k = N\Delta - \Delta/2$$

Minimal substrate dimensions
$$a = W + 2(\Delta + l)$$
 $b = L + 2(L_k + l)$

l distance of IDT from end of the substrate

$$B_{1} = -10 \lg \left[\frac{1}{(1+P)^{2}} \right]$$
 Reflection coefficient

$$B_{2} = -10 \lg \left[\frac{P^{2}}{(1+P)^{2}} \right]$$
 Transision coefficient

$$B_{3} = -10 \lg \left[\frac{2P}{(1+P)^{2}} \right]$$
 IDT insertion loss

Filter loss

$$B = 2B_{3}$$

Distortion level due to reflections

 $B_d = 2B_1$

IDT capacitance

$$C_0 = NC_1 W/2$$

$$C_1 \cong 2(1 + \varepsilon_r) (6.5s^2 + 1.08s + 2.37)$$

$$s = d/\Delta$$

Matching inductance

$$L = 1/4\pi f_0^2 C_0$$

Radiation resistance

$$R_0 = R_r(f_0) = 2k_m^2 / \pi^2 f_0 C_1 W$$

Transfer function

$$K_F(j\omega) = \frac{K_1(j\omega)}{K_1(j\omega_0)} K_2(j\omega) K_3(j\omega) \frac{K_4(j\omega)}{K_4(j\omega_0)}$$

Transfer function of input circuits

$$K_1(j\omega) = \frac{Z}{R + j\omega L + Z}$$

 $Z = R_a(f) + jX_a(f) + 1/j\omega C_0 \qquad R_a(f) = R_0(\sin X/X)^2$

 $X_a(f) = R_0 (\sin 2X - 2X)/2X^2$ $X = \pi N (f - f_0)/2f_0$

IDTs transmittances

$$K_2(j\omega) = K_3(j\omega) = \frac{\sin X}{X}$$

Input admittance

$$K_4(j\omega) = R/(R + Z + j\omega L)$$

Total ransmittance

$$K_{\Sigma}(j\omega) = K_F(j\omega) + B_d \exp(-2\omega t_{\nu L})$$

Total time delay

$$t_{\nu L} = \left(L + L_K\right) / \nu_p$$

Some matching remarks

$$Z_{IDT} = \frac{1}{(1/R_a) + j\omega C_0} = \frac{R_a}{1 + (\omega CR_a)^2} - j \frac{\omega CR_a}{1 + (\omega CR_a)^2} \qquad C_o = G_a$$

$$\frac{R_a}{1 + (\omega CR_a)^2} = \frac{1}{\frac{1}{R_a} + R_a (\omega C)^2} = \frac{G_a}{G_a^2 + (\omega C)^2} = R_{2R}$$
Necessary to compensate

$$\frac{G_a}{G_a^2 + (\omega C)^2} = R_{ZR}$$

$$G_a = 2\pi v (\varepsilon_0 + \varepsilon_{ef}) \frac{W}{\lambda} \frac{\Delta v}{v} N_p^2 \tilde{G}$$

for IDT

$$C = (\varepsilon_0 + \varepsilon_{ef}) WN_p$$

SO
$$\frac{\frac{\Delta v}{v}\tilde{G}}{2\pi v(\varepsilon_0 + \varepsilon_{ef})\frac{W}{\lambda}} = R_{\dot{Z}R}$$

Matching process starts together with design process.

Example of simple IDT on 36° YX LiTaO₃ for 50 Ω source

 $\left(\tilde{G}=2,87\right)$

v = 4109 [m/s] $\Delta v/v = 2,8 \text{ [%]}$ $\varepsilon_{11} = 40,90\varepsilon_0$ $\varepsilon_{33} = 41,45\varepsilon_0$ $\varepsilon_{13} = 0$ $\varepsilon_0 = 8,854 \cdot 10^{-12}$

$$\frac{\frac{\Delta v}{v}\tilde{G}}{2\pi v(\varepsilon_0 + \varepsilon_{ef})\frac{W}{\lambda}} = R_{\dot{Z}R}$$
$$\frac{\frac{\Delta v}{v}\tilde{G}}{\frac{V}{R_{\dot{Z}R}2\pi v(\varepsilon_0 + \varepsilon_{ef})}} = \frac{W}{\lambda}$$

$$\varepsilon_{ef} = \sqrt{\varepsilon_{11}}\varepsilon_{33} - \varepsilon_{13}^2 = 41,18\varepsilon_0$$

$$\frac{W}{\lambda} = \frac{\frac{\Delta v}{v}\tilde{G}}{R_{\dot{z}R}2\pi v(\varepsilon_0 + \varepsilon_{ef})} = \frac{0.028 \cdot 2,87}{50 \cdot 2\pi \cdot 4109 \cdot (1 + 41,48) \cdot 8,854 \cdot 10^{-12}} \approx 167$$